

Export LC Drawing User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Drawing

Drawings under Export LC is initiated on account of receipt of documents under LC from the beneficiary to negotiating bank.

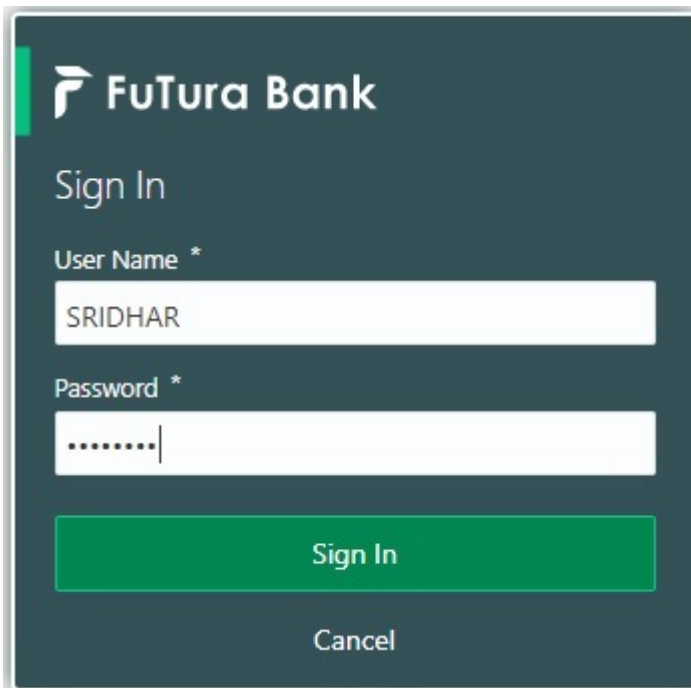
This section contains the following topics:

Registration	Scrutiny
Data Enrichment	Exceptions
Multi Level Approval	Reject Approval

Registration

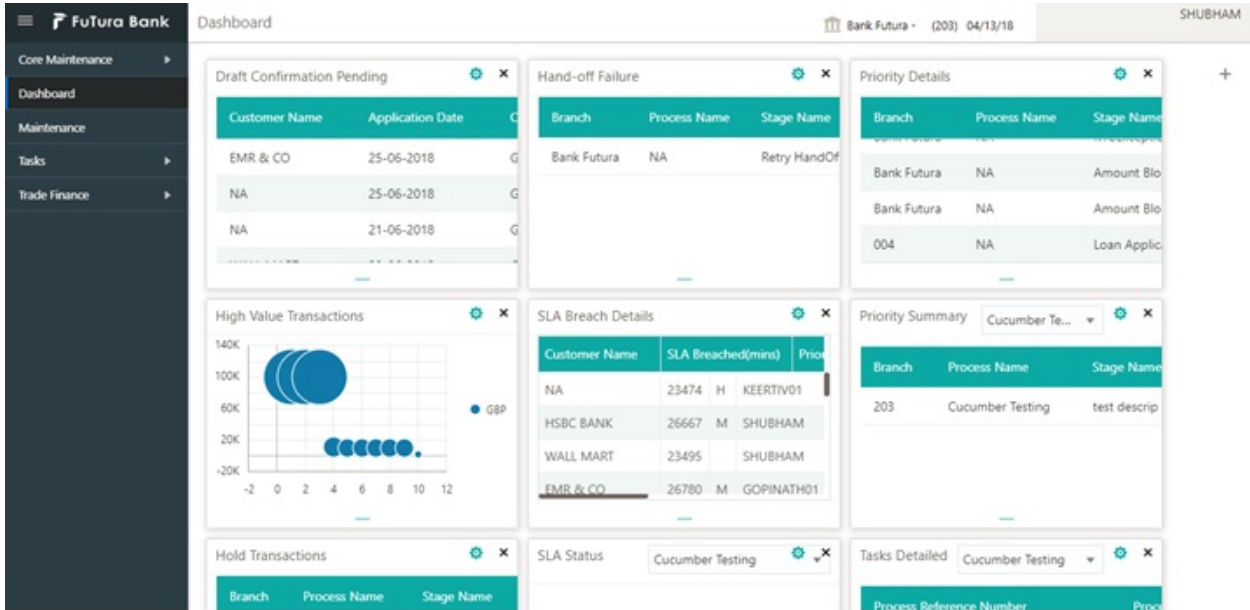
During Registration stage, user can capture the basic details and upload the documents received from beneficiary. It also enables the user to capture some additional product related details as an option. On submit of the request the request will be available for an LC expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

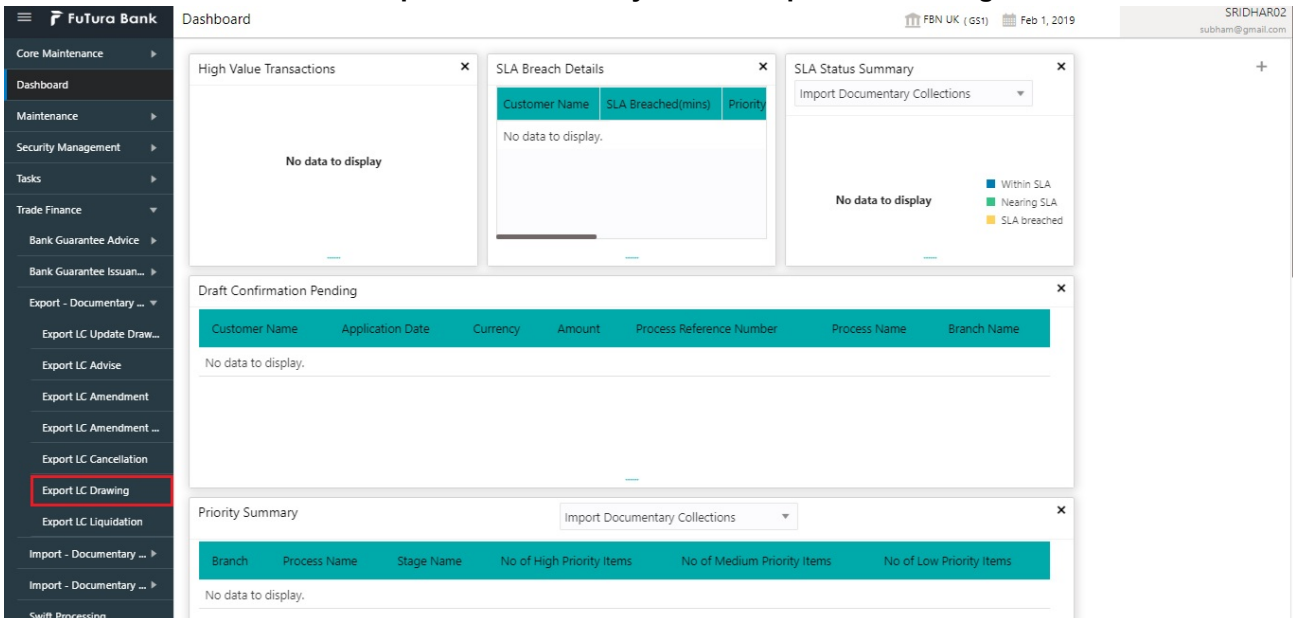


The screenshot shows a dark-themed login interface for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' containing masked characters. Below the input fields are two buttons: a green 'Sign In' button and a white 'Cancel' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Export - Documentary Credit> Export LC Drawings.



The Registration stage has two sections Basic Details and LC Details. Let's look at the details of Registration screens below:

Application Details

FuTura Bank My Tasks
FBN UK (GS1) Feb 1, 2019
SRIDHAR01
subham@gmail.com

Export LC Drawing
Documents
Remarks

Application Details

LC Advised by us *

Export LC Reference Number *

GS1ELAC19032ABKP

Beneficiary

000262 EMR & CO

Branch

GS1-FBN UK

Priority

Medium

Submission Mode

Desk

Drawing Date *

Feb 1, 2019

Document Received Date *

Feb 1, 2019

Process Reference Number

GS1ELCD000003799

View LC Events

LC Details

Document Received

First

Tenor

Sight

Product Code *

ESUC

Product Description

OUTGOING DOCUMENTARY SIGHT BILL

Drawing Reference

GS1ESUC19032A005

Applicant

000263 NESTLE

Issuing Bank

000261 HSBC BANK

Issuing Bank Reference

000261

Expiry Date

Aug 31, 2019

Presenting Bank

000062 000062

Presenting Bank Reference Number

12345


LC Drawing Amount

GBP £750.00

Operation Type: Nego/Finance Amount Unlinked FX Rate

Hold Cancel Save & Close Submit

Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
LC Advised by us	<p>Toggle On: Set the toggle to On, if LC is advised by negotiating bank.</p> <p>Toggle Off: Set the toggle to Off, if LC is not advised by the negotiating bank.</p>	
Export LC Reference Number	<p>Provide the Export LC Reference Number. Alternatively, user can search the export LC reference number using LOV.</p> <p>In the LOV, user can input Customer ID, Applicant, Currency, Amount and Issue Date to fetch the LC details. Based on the search result, select the applicable LC for drawing.</p> <div style="text-align: center; margin: 10px 0;">  <p>Note</p> </div> <p>This field is applicable only if LC Advised by us toggle is On.</p>	
Beneficiary	<p>LC Advised by us: Read only field. Beneficiary will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the beneficiary customer from the LOV.</p> <p>If beneficiary is a customer of the bank, system will check for valid KYC status. If KYC status is not valid, system will display alert message.</p>	

Field	Description	Sample Values
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the LOV.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Submission Mode	Select the submission mode of Export LC Drawing request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Drawing Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.	04/13/2018
Document Received Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.	04/13/2018

Drawing Details

Registration user can provide drawing details in this section. Alternately, drawing details can be provided by Scrutiny user.

Provide the drawing LC Details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Select the Tenor Type from the LOV: <ul style="list-style-type: none"> Sight Usance Mixed 	
Document Received	Enables to user to select the number of sets of documents received from the LOV: <ul style="list-style-type: none"> First Second Both 	
Product Code	Select the product code for the drawing.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Drawing Reference Number	This is auto generated by the back end system.	

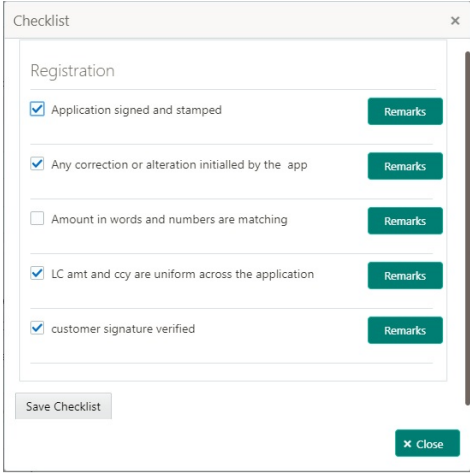
Field	Description	Sample Values
Applicant	<p>LC Advised by us: Read only field.</p> <p>Applicant will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the applicant from the LOV.</p>	
Issuing Bank	<p>LC advised by us: Read only field.</p> <p>Issuing bank will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised us: Select the issuing bank. Party type with banks will only be displayed in LOV.</p> <p>The system will display the</p> <ul style="list-style-type: none"> a) SWIFT code (if available) b) Name and address of the bank <p>On selection of the record if SWIFT code is available then SWIFT code will be populated, if SWIFT code is not available then the bank's name and address will be populated.</p>	
Issuing Bank Reference	<p>LC Advised by us: Read only field.</p> <p>Issuing bank reference will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the issuing bank reference number.</p>	
Expiry Date	<p>LC Advised by us: Read only field.</p> <p>Expiry Date reference will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the expiry date.</p>	
Presenting Bank	<p>LC Advised by us: Read only field.</p> <p>Presenting Bank will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the Presenting Bank from the LOV.</p>	
Presenting Bank Reference Number	<p>LC Advised by us: Read only field.</p> <p>Presenting Bank Reference number will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the Presenting Bank Reference Number.</p>	
LC Drawing Amount	Provide the amount to be drawn in this drawing.	

Field	Description	Sample Values
Operation Type	<p>LC Advised by us: Read only field.</p> <p>Operation Type will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Select the operation type from the LOV.</p>	
Negotiation/Financing Amount	<p>LC Advised by us: Read only field.</p> <p>Negotiation/Financing Amount will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the Negotiation/Financing Amount.</p>	
Unlinked FX Rate	<p>LC Advised by us: Read only field.</p> <p>Unlinked FX Rate will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the Unlinked FX Rate.</p>	
Outstanding LC Value	<p>LC Advised by us: Read only field.</p> <p>Outstanding LC Value reference will be auto-populated based on the selected LC from the LOV.</p> <p>LC not advised by us: Provide the outstanding LC value.</p>	
Additional Amount	<p>This field enables user to provide any additional amount to be processed under this LC drawing.</p>	

Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the LC.	
Remarks	Provide any additional information regarding the drawing. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Fetch LC Events	On click, system will display the details of LC issuance, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from LC Issuance.	
Action Buttons		
Submit	On submit, task will get moved to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Export LC Drawing Registration stage inputs.	

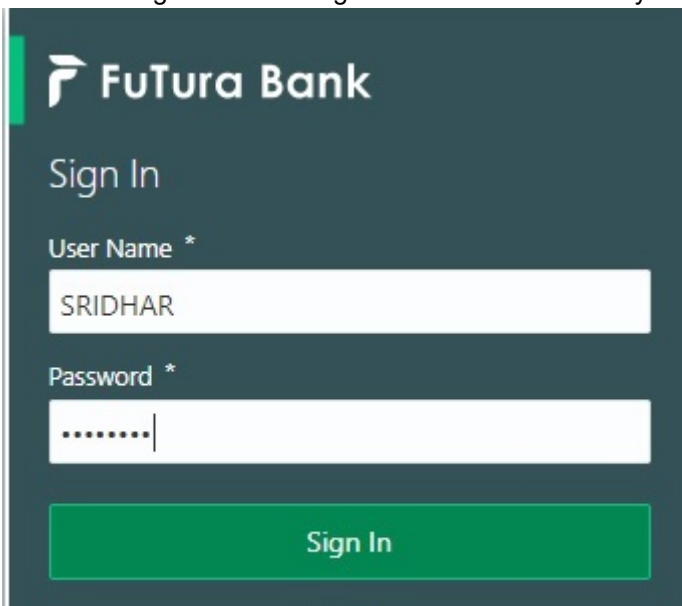
Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

Scrutiny

On successful completion of Registration of an Export LC Drawing request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.

The following steps enable the user to acquire the task available-at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets for user monitoring and management:

- Draft Confirmation Pending:** Table with columns: Customer Name, Application Date, Status.
- Hand-off Failure:** Table with columns: Branch, Process Name, Stage Name.
- Priority Details:** Table with columns: Branch, Process Name, Stage Name.
- High Value Transactions:** A bubble chart showing transaction values for GBP.
- SLA Breach Details:** Table with columns: Customer Name, SLA Breached(mins), Priority.
- Priority Summary:** Table with columns: Branch, Process Name, Stage Name.
- Hold Transactions:** Table with columns: Branch, Process Name, Stage Name.
- SLA Status:** A dropdown menu currently set to 'Cucumber Testing'.
- Tasks Detailed:** Table with columns: Branch, Process Name, Stage Name.

3. Click Trade Finance> Tasks> Free Tasks.

The Free Tasks page shows a list of tasks with the following data:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCD000006388	GS1	000262	£750.00	Export LC Drawing	Scrutiny	GS1ESUN19032ASJU
Acquire & Edit		GS1ELCD000006386	GS1	000262	£15,000.00	Export LC Drawing	Registration	GS1ELAC190322003
Acquire & Edit		GS1ILCD000006378	GS1	000343	£10,000.00	Import LC Drawing	Registration	NA
Acquire & Edit	M	GS1ILCI000006377	GS1	000262	£10.00	Import LC Issuance	Registration	NA
Acquire & Edit	M	GS1ELCA000006268	GS1	000263	£25,000.00	Export LC Advising	Approval1	GS1ELAC190328MNV
Acquire & Edit		GS1ELCA000006285	GS1	000263	£100,000.00	Export LC Amendment	Scrutiny	GS1ELAC190328N15

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The screenshot shows the 'Free Tasks' page with the 'Acquire & Edit' button for the first task highlighted in red. The table data is identical to the previous screenshot.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

The Scrutiny stage has following hops for data capture:

- Main Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Additional Fields
- Additional Details
- Maturity Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has two sub section as follows:

- Application Details
- LC Details

Application Details

All fields displayed under Application Details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Main Details

Application Details

LC Advised by us *	Export LC Reference Number *	Beneficiary	Branch
<input type="checkbox"/>	GS1ELAC19032ABKR	000262 EMR & CO	GS1-FBN UK
Priority	Submission Mode	Drawing Date *	Document Received Date *
Medium	Desk	Feb 1, 2019	Feb 1, 2019
Process Reference Number			
GS1ELCD000006391			

LC Details

Document Received	Tenor *	Product Code *	Product Description
First	Sight	ESUN	OUTGOING DOCUMENTARY SIGHT BILL
Drawing Reference	Applicant	Issuing Bank	Issuing Bank Reference
GS1ESUN19032ASJV	000263 NESTLE	000261 HSBC BANK	000261
Expiry Date	Presenting Bank	Presenting Bank Reference Number	LC Drawing Amount *
Aug 31, 2019	000261 HSBCGB11X0C	65636	GBP £750.00
Operation Type	Nego/Finance Amount	Unlinked FX Rate	Outstanding LC Value
NEG	GBP £750.00	2	GBP £1,000.00
Additional Amount			

Buttons: Audit, Reject, Hold, Cancel, Save & Close, Back, Next

Drawing Details

The fields listed under this section are same as the fields listed under the [Drawing Details](#) section in [Registration](#). Refer to [Drawing Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Main Details

Application Details

LC Advised by us *	Export LC Reference Number *	Beneficiary	Branch
<input type="checkbox"/>	GS1ELAC19032ABKR	000262 EMR & CO	GS1-FBN UK
Priority	Submission Mode	Drawing Date *	Document Received Date *
Medium	Desk	Feb 1, 2019	Feb 1, 2019
Process Reference Number			
GS1ELCD000006391			

LC Details

Document Received	Tenor *	Product Code *	Product Description
First	Sight	ESUN	OUTGOING DOCUMENTARY SIGHT BILL
Drawing Reference	Applicant	Issuing Bank	Issuing Bank Reference
GS1ESUN19032ASJV	000263 NESTLE	000261 HSBC BANK	000261
Expiry Date	Presenting Bank	Presenting Bank Reference Number	LC Drawing Amount *
Aug 31, 2019	000261 HSBCGB11X0C	65636	GBP £750.00
Operation Type	Nego/Finance Amount	Unlinked FX Rate	Outstanding LC Value
NEG	GBP £750.00	2	GBP £1,000.00
Additional Amount			

Buttons: Audit, Reject, Hold, Cancel, Save & Close, Back, Next

Following fields can be amended based on the description in the following table:

Field	Description	Sample Values
Document Received	Enables to user to select the number of sets of documents received from the LOV: <ul style="list-style-type: none"> • First • Second • Both 	
Presenting Bank	Select the Presenting Bank from the LOV.	
Presenting Bank Reference Number	Provide the Presenting Bank Reference Number.	
LC Drawing Amount	Provide the amount to be drawn in this drawing.	
Operation Type	Select the operation type from the LOV.	
Negotiation/Financing Amount	Provide the Negotiation/Financing Amount.	
Unlinked FX Rate	Provide the Unlinked FX Rate.	
Additional Amount	This field enables user to provide any additional amount to be processed under the LC drawing.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided. In case of duplicate documents' system will terminate the process after handing off the details to back office.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

Document Details

User can verify the documents received and identify discrepancies, if any.

Document Details

User can compare the document received with the required documents and identify the discrepancies, if any. Provide the information based on the description in the following table:

The screenshot displays the 'Document Details' section of the FuTura Bank application. The main content area features a table with the following data:

Document Code	Document Reference Number	Copy	Original	Description	First Mail Received Copy	First Mail Received Original	Second Mail Received
AIRDOC		3	3/3				
INSDOC		3	3/3	INSURANCE POLIC			
INVDOC		3	3/3	COMMERCIAL INV			
MARDOC		3	3/3	CLEAN SEA WAYBILL			

Field	Description	Sample Values
Document Code	Document code is auto-populated from the latest LC.	
Document Reference Number	Enables to user to provide the reference number available in the documents.	

Field	Description	Sample Values
Copy	Number of duplicate copies of documents as required in LC.	
Original	Number of documents in original as required in LC.	
Description	Description of the document required as per LC.	
Received Copy	Provide the number of duplicate copies of documents received.	
Received Original	Provide the number of originals of documents received.	
Received Description	Provide the description of the documents received from presenting bank.	
Discrepant	System marks the discrepant toggle as 'Yes' if there is difference between number of documents required and number of documents received. It also enables the user to make the changes, if any discrepancy identified in the document.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

For LC Not Advised by Us: The LC terms documents will be inactive. The user must read the description available in the documents and compare them with the description as per LC terms and will mark them if discrepant and provide discrepancy description.

If there are additional documents received from the beneficiary that has not be requested through LC, user must add them.

Shipment Details

User must check whether the received documents of goods and shipment matches the requirement in LC.

My Tasks

FBN UK (gst)
Feb 1, 2019

SRIDHAR01
subham@gmail.com

Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391

Documents
Remarks
Audit
View LC

- Main Details
- Document Details
- Shipment Details
- Additional Conditions
- Discrepancy Details
- Additional Fields
- Additional Details
- Maturity Details
- Summary

Shipment Details

Screen (3 / 9)

Goods Details

Goods Code	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
MACHINE1	Import of Textile Machinery		<input checked="" type="checkbox"/>	ACW	AIRCRAFT CARRIRER ORIGINAL DOCL

Country of Origin

Insurance Company

Insurance Company Address

Shipment Details

Date of Shipment

Carrier Name

Shipping Agent

Date of Presentation *
Feb 1, 2019

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
Partial Shipment	NOT ALLOWED		<input type="checkbox"/>		
Trans Shipment	NOT ALLOWED		<input type="checkbox"/>		
Place Of Taking Charge	TEST		<input type="checkbox"/>		
Port Of Loading	LONDON		<input type="checkbox"/>		
Port Of Discharge	NEW YORK		<input type="checkbox"/>		
Place Of Final Destination	TEST1		<input type="checkbox"/>		
Latest Date Of Shipment	Aug 11, 2019		<input type="checkbox"/>		
Shipment Period			<input type="checkbox"/>		
Period Of Presentation			<input type="checkbox"/>		

Carrier Details

Carrier Name	Port
No data to display.	

Audit

Reject
Hold
Cancel
Save & Close
Back
Next

Goods Details

Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391

Documents Remarks Audit View LC

Main Details Document Details **Shipment Details** Additional Conditions Discrepancy Details Additional Fields Additional Details Maturity Details Summary

Shipment Details

Goods Details

Goods Code	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
MACHINE1	Import of Textile Machinery		<input checked="" type="checkbox"/>	ACW	AIRCRAFT CARRIRER ORIGINAL DOCL

Country of Origin Insurance Company Insurance Company Address

Shipment Details

Date of Shipment Carrier Name Shipping Agent Date of Presentation *
Feb 1, 2019

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
Partial Shipment	NOT ALLOWED		<input type="checkbox"/>		
Trans Shipment	NOT ALLOWED		<input type="checkbox"/>		

Audit Reject Hold Cancel Save & Close Back Next

Provide the Goods Details based on the description in the following table:

Field	Description	Sample Values
Goods Code	This field displays goods code as per latest LC.	
As per LC	LC Advised by us: Read only field. This field displays description of the goods as per the latest LC. LC not advised by us: Provide the description of goods.	
As per Documents	LC Advised by us: Read only field. This field displays description of the goods as per the received documents. LC not advised by us: Provide the description of the goods as per the documents.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Country of Origin	Update the country of origin as per the documents.	
Insurance Company	Update the insurance company name, if applicable.	
Insurance Company Address	Update the insurance company details, if applicable.	

Shipment Details

FuTura Bank My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01
tubham@gmail.com

Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391

Documents Remarks Audit View LC

Screen (3 / 9)

Main Details
 Document Details
 Shipment Details
 Additional Conditions
 Discrepancy Details
 Additional Fields
 Additional Details
 Maturity Details
 Summary

Shipment Details
Goods Details

Goods Code	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
MACHINE1	Import of Textile Machinery		<input checked="" type="checkbox"/>	ACW	AIRCRAFT CARRIRER ORIGINAL DOCL

Country of Origin Insurance Company Insurance Company Address

Shipment Details
 Date of Shipment Carrier Name Shipping Agent Date of Presentation *

Details	As Per LC	As Per Documents	Discrepant	Discrepant Code	Discrepant Description
Partial Shipment	NOT ALLOWED		<input type="checkbox"/>		
Trans Shipment	NOT ALLOWED		<input type="checkbox"/>		
Place Of Taking Charge	TEST		<input type="checkbox"/>		
Port Of Loading	LONDON		<input type="checkbox"/>		
Port Of Discharge	NEW YORK		<input type="checkbox"/>		
Place Of Final Destination	TEST1		<input type="checkbox"/>		
Latest Date Of Shipment	Aug 11, 2019		<input type="checkbox"/>		
Shipment Period			<input type="checkbox"/>		
Period Of Presentation			<input type="checkbox"/>		

Carrier Details
 Carrier Name Port
 No data to display.

Audit Reject Hold Cancel Save & Close Back Next

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Date of Shipment	Update the date of shipment as per the documents received.	
Carrier Name	Update the carrier name through which the goods are shipped	
Shipping Agent	Update the shipping agent details.	
Date of Presentation	Read only field. Auto-populated based on the system date.	
Details	Details represent the fields in latest LC.	

Field	Description	Sample Values
As per LC	<p>LC Advised by us: Read only field.</p> <p>This field displays description of the fields as per LC.</p> <p>LC not advised by us: Provide the description of the fields.</p>	
As Per Document	Provide the description of the field as per document.	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC Terms and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

Carrier Details

During shipment, If the shipment involves multiple carrier and or shipment passes through multiple ports, the details will be captured under this segment.

Carrier Details + -

Carrier Name	Port
No data to display.	

Field	Description	Sample Values
Carrier Name	Provide the details of the carrier as per the documents received, if the goods got shipped via multiple carriers.	
Port	Provide the port details as per the document received, if the ship has transit on its way to the destination.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	<p>On successful submission, task moves to next logical stage of Export LC Drawing.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Drawing Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

Additional Conditions

Enables the user to check the whether the documents received comply with the additional conditions mentioned in LC.

Additional Conditions

Export LC Drawing - Scrutiny :: Application No: GS1ELCD00006391

SRIDHAR01
subham@gmail.com

Documents Remarks Audit View LC

Main Details
Document Details
Shipment Details
Additional Conditions
Discrepancy Details
Additional Fields
Additional Details
Maturity Details
Summary

Additional Conditions

Select	FFT Code	FFT Description	Discrepant	Discrepant Code	Discrepant Description
<input type="checkbox"/>	FFT2	FFT2	<input type="checkbox"/>		

Instruction Details

Select	Instruction Code	Instruction Description
<input type="checkbox"/>	SND2RECINFO	DEFAULT FFT

Audit Reject Hold Cancel Save & Close Back Next

This section displays the list of additional conditions as per LC. Provide the Additional Conditions details as per the description in the following table:

Field	Description	Sample Values
FFT Code	<p>LC Advised by us: Read only field.</p> <p>This field displays the FFT code as per the latest LC.</p> <p>LC not advised by us: Provide the FFT code.</p>	
FFT Description	<p>LC Advised by us: Read only field.</p> <p>This field displays the FFT description as per the latest LC.</p> <p>LC not advised by us: Provide the FFT description.</p>	
Discrepant	User shall mark the discrepant toggle as 'Yes' if there is difference between LC requirement and documents received.	
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	

Instruction Details

User can capture one of the applicable instruction codes for the drawing. System will populate the instruction description for the selected instruction code.

Field	Description	Sample Values
Instruction Code	Select the applicable instruction code for the drawing.	
Instruction Description	This field displays the instruction description based on the instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On successful submission, task moves to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Drawing Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

Discrepancy Details

This section displays the list of discrepancies captured.

Discrepancy Details

User can add/update/review the discrepancies identified in the section.

The screenshot shows the 'Discrepancy Details' section of the FuTura Bank system. The main content area displays a table with the following data:

Discrepancy Code	Discrepancy Description	Discrepancy Resolved	Resolved Date	Resolved Remarks
BOL	BILL OF LADING NOT SUE	<input type="checkbox"/>		
EXPIREDLC	BILL IS BOOKED UNDER A	<input type="checkbox"/>		
ACW	AIRCRAFT CARRIRER ORIC	<input type="checkbox"/>		

The bottom toolbar contains buttons for Audit, Reject, Hold, Cancel, Save & Close, Back, and Next.

Field	Description	Sample Values
Discrepancy Code	Select the discrepancy code based on the discrepancy identified.	
Discrepancy Description	Description will be displayed based on the discrepancy code.	
Discrepancy Resolved	Set the toggle to 'Yes', if discrepancy is resolved.	

Field	Description	Sample Values
Resolved Date	Set the date when discrepancy is resolved.	
Resolved Remarks	Provide remarks for discrepancy resolution.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On successful submission, task moves to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Drawing Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

Additional Fields

Banks can configure these additional fields during implementation.

The screenshot shows the 'Additional Fields' configuration screen in the Futura Bank system. The interface includes a top navigation bar with the Futura Bank logo, 'My Tasks', and user information (SRIDHAR01, subham@gmail.com). The main content area is titled 'Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391' and contains a section for 'Additional Fields' with the message 'No Additional fields configured!'. A left sidebar lists various configuration options, with 'Additional Fields' currently selected. At the bottom, there are several action buttons: 'Audit', 'Reject', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Additional Fields

No Additional fields configured!

Audit

Reject Hold Cancel Save & Close Back Next

Additional Details

FuTuro Bank My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Export LC Drawing - Scrutiny :: Application No: GS1ELCD000006391 Documents Remarks Audit View LC

Main Details
Document Details
Shipment Details
Additional Conditions
Discrepancy Details
Additional Fields
Additional Details
Maturity Details
Summary

Additional Details

Limit & Collateral

Limit Currency :
Limit Contribution :
Limit Status :
Collateral Currency :
Collateral Contribution :
Collateral Status :

Charge Details

Charge :
Commission :
Tax :
Block Status : **Not Initiated**

Screen (7 / 9)

Reject Hold Cancel Save & Close Back Next

Limits & Collateral

Limit & Collateral

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf

Save & Close Cancel

Limit Details

Customer ID: 001345

Line ID*: 001345

Contribution %*: 100

Contribution Currency: GBP

Limit Currency: GBP

Limit Check Response: Available

Limits Description:

Contribution Amount*: £20,000.00

Limit Available Amount:

Response Message: The Earmark can be performed as the f

Verify

Save & Close Cancel

Field	Description	Sample Values
Limit Details	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	

Field	Description	Sample Values
Contribution	System will default this to 100%.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution%.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

This section displays the collateral details:

Limit & Collateral ×

▲ Limit Details 📄 + -

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

▲ Collateral Details 📄 + -

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf

✔ Save & Close
✖ Cancel

Collateral Details
✕

Collateral Type *

Currency

Settlement Account *

Settlement Account Currency

Response

Collateral % *

Contribution Amount *

Settlement Account Branch

Account Available Amount

Response Message

Field	Description	Sample Values
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field.	
Collateral%	This field displays the percentage of collateral.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	This field displays the details of settlement account for then collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	This field displays the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Charge Details

This section displays charge details:

Charge Details

Recalculate
Redefault

▲ Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	2030013460000000017 <input type="text" value=""/>
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	2030013460000000017 <input type="text" value=""/>
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	2030013460000000017 <input type="text" value=""/>

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
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✔ Save & Close
✕ Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

This section displays the commission details:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Save & Close Cancel

Field	Description	Sample Values
Component	This field displays the commission component.	
Rate	Defaults from product.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	2030013460000000017
LCTAX	GBP	1600	2030013460000000017
LCTAX1	GBP	0	2030013460000000017

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

Maturity Details

This field displays the maturity details and this tile will be disabled, if the tenor for the drawing is 'Sight'.

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. This field displays the tenor type as per LC.	
Tenor Basis	Provide the tenor basis.	
Tenor Start Date	Provide the tenor start date.	

Field	Description	Sample Values
Tenor Days	Provide the number of tenor days	
Transit Days	Provide the transit days, if the tenor is sight.	
Maturity date	System displays the due date for the drawing based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.	
Usance Interest Rate	Provide the usance interest (if any).	
Usance Interest Amount	Provide the usance interest amount for the LC value as per the tenor basis.	

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
Interest from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Tenor Basis	Provide the tenor basis, if the tenor is not sight.	
Acceptance Commission From Date	Select the acceptance commission from date.	
Acceptance Commission To Date	Select the acceptance commission to date.	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the drawings transaction.	
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	

Field	Description	Sample Values
Value Date	Provide the value date.	
Allow Pre-Payment	<p>Toggle On: Set the toggle 'On' to enable pre-payment.</p> <p>Toggle Off: Set the toggle 'Off' to disable pre-payment.</p>	
Refund Interest	<p>Toggle On: Set the toggle 'On' to refund the interest.</p> <p>Toggle Off: Set the toggle 'Off' to deny the interest refund.</p>	
Transfer Collateral from LC	<p>Toggle On: Set the toggle 'On' to enable the transfer collateral from LC.</p> <p>Toggle Off: Set the toggle 'Off' to disable the transfer collateral from LC.</p>	

Summary

User can review the summary of details captured in Export LC Drawing Scrutiny stage. The tiles must display a list of important fields with values. User can drill down the tile and view the details provided in the various data segments.

The screenshot shows the 'Export LC Drawing - Scrutiny' application interface. The main area displays a grid of 12 summary tiles for various data segments. The tiles are:

- Main Details:** Product Code : ESUN, Currency : GBP, Amount : 750
- Document Details:** Document 1 : AIRDOC, Document 2 : INSDOC, Document 3 : INVDOC, Document 4 : MARDOC
- Shipment Details:** Goods Code : MACHINE1, Goods Description : Import of ...
- Additional Conditions:** FFT Code 1 : FFT2
- Discrepancy Details:** Discrepancy Code 1 : BOL, Discrepancy Code 2 : EXPIREDLC, Discrepancy Code 3 : ACW
- Additional Fields:** Click here to view additional fields
- Commission, Charges and Taxes:** Charge, Commission, Tax, Block Status : Not Initia...
- Payment Details:** Immediate Liquidation, Immediate Accept, Reim Claimed
- Preview Details:** Language : ENG, Preview Message : -
- FX Linkage Details:** Reference No., Contract Amount, Linkage Amount
- Preview Messages:** Language : ENG, Preview Message : -
- Tracer Details:** Payment Tracer : No, Acceptance Tracer : No, Discrepancy Tracer : No
- Limits and Collaterals:** Limit Currency, Limit Contribution, Limit Status : Not Verified, Collateral Currency : GBP, Collateral Contr., Collateral Status : Not Verified
- Maturity Details:** Tenor Type : Sight, Tenor Basis, Maturity Date : 2019-02-01
- Party Details:** Issuing Bank : HSBC BANK, Drawee : NESTLE, Confirming Bank : CITIBANK NY, Drawer : EMR & CO, Presenting Bank : HSBC BANK

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Document Details - User can view document details.
- Party Details - User can view party details like applicant, advising bank etc.

- Shipment Details - User can view shipment details.
- Additional Conditions - User can view the additional conditions.
- Discrepancy Details - User can view the discrepancy noted for the current LC drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.

Action Buttons

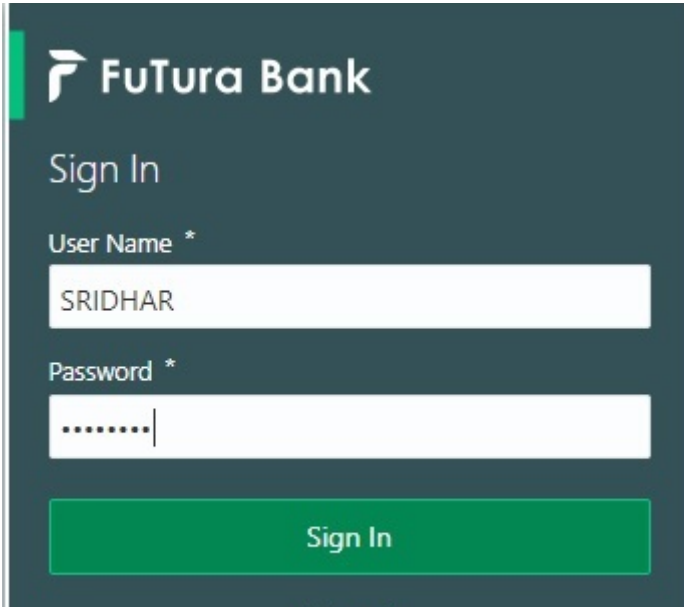
Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Data Enrichment

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

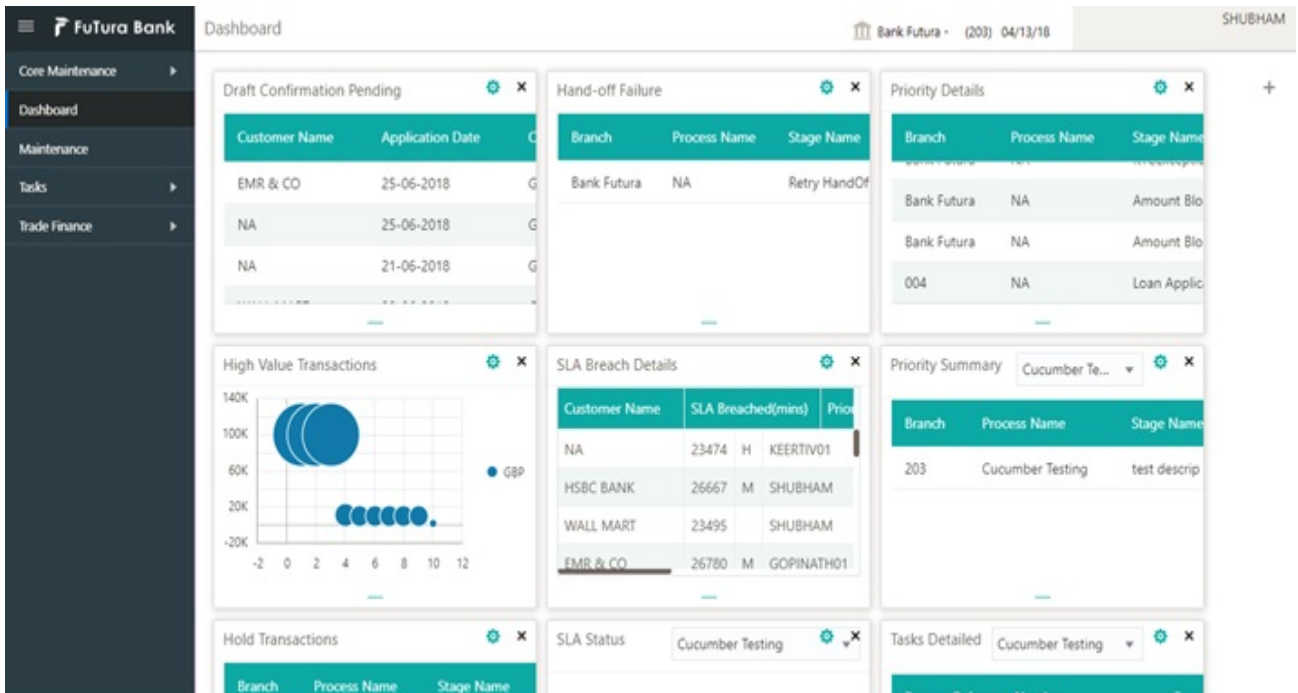
Sign In

User Name *

Password *

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard Bank Futura - (203) 04/13/18 SHUBHAM

Core Maintenance
Dashboard
Maintenance
Tasks
Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

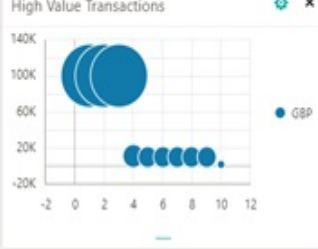
Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions



SLA Breach Details

Customer Name	SLA Breached(mins)	Priority
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

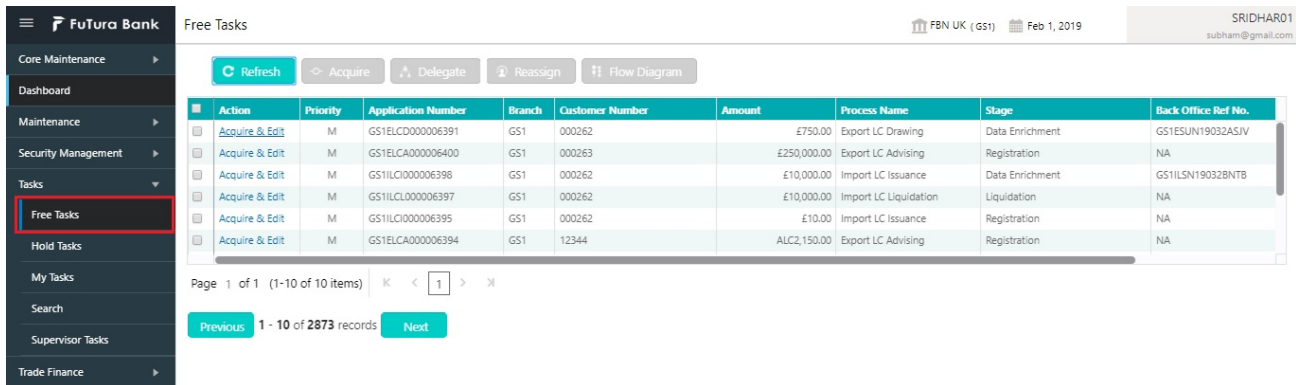
Hold Transactions

Branch	Process Name	Stage Name
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SLA Status Cucumber Testing

Tasks Detailed Cucumber Testing

3. Click **Trade Finance > Tasks > Free Tasks**.



FuTura Bank Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Core Maintenance
Dashboard
Maintenance
Security Management
Tasks
Free Tasks
Hold Tasks
My Tasks
Search
Supervisor Tasks
Trade Finance

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCD000006391	GS1	000262	£750.00	Export LC Drawing	Data Enrichment	GS1ESUN19032ASIV
Acquire & Edit	M	GS1ELCA000006400	GS1	000263	£250,000.00	Export LC Advising	Registration	NA
Acquire & Edit	M	GS1ILCO000006398	GS1	000262	£10,000.00	Import LC Issuance	Data Enrichment	GS1ILSN19032BNTB
Acquire & Edit	M	GS1ILCL000006397	GS1	000262	£10,000.00	Import LC Liquidation	Liquidation	NA
Acquire & Edit	M	GS1ILCO000006395	GS1	000262	£10.00	Import LC Issuance	Registration	NA
Acquire & Edit	M	GS1ELCA000006394	GS1	12344	ALC2,150.00	Export LC Advising	Registration	NA

Page 1 of 1 (1-10 of 10 items) **1**

Previous 1 - 10 of 2873 records **Next**

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCD000006391	GS1	000262	£750.00	Export LC Drawing	Data Enrichment	GS1ESUN19032ASJV
Acquire & Edit	M	GS1ELCA000006400	GS1	000263	£250,000.00	Export LC Advising	Registration	NA
Acquire & Edit	M	GS1ILCI000006398	GS1	000262	£10,000.00	Import LC Issuance	Data Enrichment	GS1ILSN190328NTB
Acquire & Edit	M	GS1ILCL000006397	GS1	000262	£10,000.00	Import LC Liquidation	Liquidation	NA
Acquire & Edit	M	GS1ILCI000006395	GS1	000262	£10.00	Import LC Issuance	Registration	NA
Acquire & Edit	M	GS1ELCA000006394	GS1	12344	ALC2,150.00	Export LC Advising	Registration	NA

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	GS1ELCD000006391	GS1	000262	£750.00	Export LC Drawing	Data Enrichment	GS1ESUN19032ASJV

The Data Enrichment stage has following hops for data capture:

- Main Details
- Document Details
- Additional Conditions
- Shipment Details
- Discrepancy Details
- Maturity Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Document Details

Refer to [Document Details](#).

Additional Conditions

Refer to [Additional Conditions](#).

Shipment Details

Refer to [Shipment Details](#).

Discrepancy Details

Refer to [Discrepancy Details](#).

Maturity Details

Refer to [Maturity Details](#).

Additional Fields

Refer to [Additional Fields](#).

Advices

The screenshot shows the Oracle FuTura Bank interface. The top header includes the bank logo, 'My Tasks', and user information: 'FBN UK (GS1)', 'Feb 1, 2019', and 'SRIDHAR01 subham@gmail.com'. The main content area is titled 'Export LC Drawing - Data Enrichment :: Application No: GS1ELCD000006391'. On the left, a navigation menu lists various sections: Main Details, Document Details, Additional Conditions, Shipment Details, Discrepancy Details, Maturity Details, Additional Fields, Advices (selected), Additional Details, Settlement Details, and Summary. The 'Advices' section displays two tiles:

Advice Name	Advice Party	Party Name	Suppress Advice
DISCREPANCY_REQ	ISSUING BANK	HSBC BANK	N
PAYMENT_MESSAGE			N

At the bottom of the interface, there are several action buttons: 'Audit', 'Reject', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'. The page number 'Screen (8 / 11)' is visible in the top right corner.

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

Additional Details

FuTura Bank My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Export LC Drawing - Data Enrichment :: Application No: GS1ELCD00006391 Documents Remarks Audit View LC

Main Details
Document Details
Additional Conditions
Shipment Details
Discrepancy Details
Maturity Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Screen (9 / 11)

Limit & Collateral

Limit Currency : **GBP**
Limit Contribution : **1000**
Limit Status : **Not Available**
Collateral Currency : **GBP**
Collateral Contribution : **10**
Collateral Status : **Not Verified**

Charge Details

Charge :
Commission :
Tax :
Block Status : **Not Initiated**

Payment Details

Immediate Required : **No**
Immediate Accept : **No**
Reim Claimed : **No**

Preview Details

Outgoing Message 1 :
Outgoing Message 2 :

Preview Messages

Outgoing Message 1 :
Outgoing Message 2 :

Tracer Details

Payment Tracer : **No**
Acceptance Tracer : **No**
Discrepancy Tracer : **No**

FX Linkage

Reference Number :
Currency :
Amount :

Audit Reject Hold Cancel Save & Close Back Next

Limits & Collateral

Refer to [Limits & Collateral](#).

Charge Details

Refer to [Charge Details](#).

Payment Details

Payment Details

PaymentDetails

Auto Liquidation Required

Reimbursing Bank

Preshipment Credit Outstanding Amount GBP

Preshipment Credit Availed

Reimbursement Days

Preshipment Credit Repayment Amount GBP

Reimbursement Claimed

Preshipment Credit A/C No.

Repay Preshipment Credit

Reimbursement Date

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
AMT_PURCHASED	GBP	Debit	GS100002610012	HSBC BANK	GS1	GBP
AMT_PURCHASEDEQ	GBP	Credit	GS100002610012	HSBC BANK	GS1	GBP

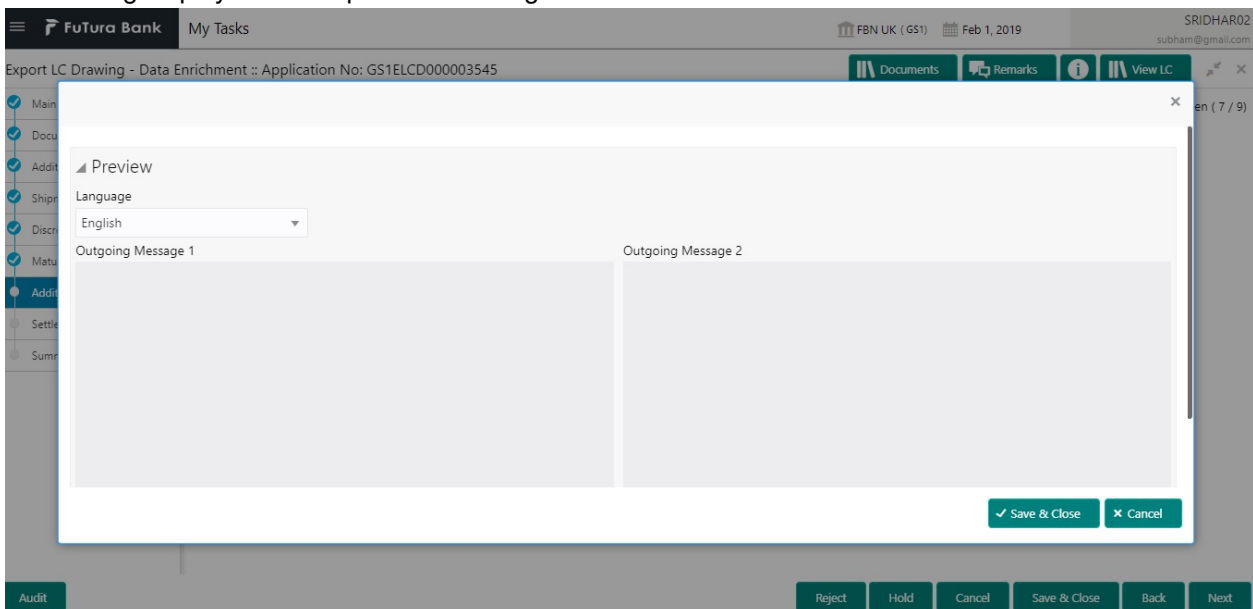
Provide payment details based on the description in the following table:

Field	Description	Sample Values
Auto Liquidation Required	<p>Auto Liquidation Required details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>If the LC Advised by us toggle is off:</p> <p>Yes: Select Yes, if auto liquidation is required. It is applicable only if the drawing is without discrepancy.</p> <p>No: Select No if auto liquidation is not required.</p>	
Pre-shipment Credit Availed	<p>Pre-shipment Credit Availed details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>If the LC Advised by us toggle is off:</p> <p>Yes: Select Yes, if pre-shipment credit is availed.</p> <p>No: Select No if pre-shipment credit is not availed.</p>	
Reimbursement claimed	<p>Reimbursing Claimed details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>If the LC Advised by us toggle is off:</p> <p>Yes: Select Yes, if reimbursement to be claimed.</p> <p>No: Select No if there are no reimbursement to be claimed.</p>	
Repay Pre-shipment Credit	<p>Repay Pre-shipment Credit details gets defaulted from the LC, if the LC Advised by us toggle is on.</p> <p>If the LC Advised by us toggle is off:</p> <p>Yes: Select Yes, if pre-shipment credit to be repaid.</p> <p>No: Select No if there is no pre-shipment credit to be repaid.</p>	

Field	Description	Sample Values
Reimbursement Days	Provide the number of days before the maturity date on which the reimbursement claim needs to be triggered.	
Reimbursing Bank	Reimbursing bank details gets defaulted from the LC, if the LC Advised by us toggle is on. Select the Reimbursing Bank from the LOV, if the Reimbursement Claimed toggle is set to 'Yes'.	
Reimbursement Date	Reimbursement date will be displayed based on the reimbursement days and maturity date. Enables user to capture the reimbursement date. If reimbursement date is later than the branch date, system will display an error.	
Pre-shipment Credit Account Number	This field displays the pre-shipment credit account number.	
Pre-shipment Credit Outstanding Amount	This field displays the pre-shipment credit outstanding amount.	
Pre-shipment Credit Repayment Amount	This field displays the pre-shipment credit repayment amount.	

Preview

User can view the draft message (outgoing MT754 and MT742/MT750/MT742 SWIFT message format) being displayed on the preview message text box.



Tracer Details

User can trace the response for the discrepancies identified.

Payment Tracer

Provide the payment tracer details based on the description in the following table:

Tracer Details

Payment Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

Discrepancy Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

Acceptance Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

Field	Description	Sample Values
Tracer Required	Toggle on - Switch on the toggle to capture the tracer details. Toggle off - Switch of the toggle, if user does not require to capture tracer details.	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	Select the tracer medium from the LOV: <ul style="list-style-type: none"> Mail Email Swift 	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

Discrepancy Tracer

Provide the discrepancy tracer details based on the description in the following table:

Tracer Details

Payment Tracer

Tracer Required:

Number of Tracers:

Tracer Frequency:

Tracer Medium:

Tracer Receiver Party:

Tracer Start Date:

Discrepancy Tracer

Tracer Required:

Number of Tracers:

Tracer Frequency:

Tracer Medium:

Tracer Receiver Party:

Tracer Start Date:

Acceptance Tracer

Tracer Required:

Number of Tracers:

Tracer Frequency:

Tracer Medium:

Tracer Receiver Party:

Tracer Start Date:

Field	Description	Sample Values
Tracer Required	<p>Toggle on - Switch on the toggle to capture the tracer details.</p> <p>Toggle off - Switch of the toggle, if user does not require to capture tracer details.</p>	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	<p>Select the tracer medium from the LOV:</p> <ul style="list-style-type: none"> • Mail • Email • Swift 	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

Acceptance Tracer

Provide the acceptance tracer details based on the description in the following table:

Tracer Details x

Payment Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

Discrepancy Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date

Acceptance Tracer

Tracer Required Number of Tracers Tracer Frequency Tracer Medium

Tracer Receiver Party Tracer Start Date


Field	Description	Sample Values
Tracer Required	<p>Toggle on - Switch on the toggle to capture the tracer details.</p> <p>Toggle off - Switch of the toggle, if user does not require to capture tracer details.</p>	
Number of Tracers	Provide the number of tracers required.	
Tracer Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Tracer Medium	<p>Select the tracer medium from the LOV:</p> <ul style="list-style-type: none"> • Mail • Email • Swift 	
Tracer Receiver Party	Read only field. 'Applicant' will be defaulted as tracer receiver party.	
Tracer Start Date	Capture the tracer start date. If the date is earlier than system date, system to display an error message.	

FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
Drawing Currency	Read only field. This field displays the currency details from the drawing.	
Drawing Amount	Read only field. This field displays the drawing amount from the drawing.	
Drawing Maturity Date	System to display the due date for the drawing in case of a Usance bill. In case of a sight bill, the system should display the current branch date as Maturity Date (for processing immediate payment).	
Contract Reference Number	Select the FX contract from the LOV.	
Contract Currency	This field displays the currency of the FX contract.	
Contract Amount	This field displays the amount of the FX contract.	
Contract Available Amount	This field displays the unlinked/ available amount under the FX contract.	
Linkage Amount	If FX contract is linked already for the underlying LC, system will display the amount linked. If FX contract is not linked, user can provide the Linkage amount. <div style="text-align: center;">  Note The linkage amount must not be more than the drawing amount. </div>	
Rate	This field displays the rate at which the contract is booked.	

Field	Description	Sample Values
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
Average FX Rate	Average rate for more than one contract if linked.	

Settlement Details

Provide the settlement details based on the description in the following table:

Settlement Details Screen (4 / 6)

▲ Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator
BCCOUR_LIQD	KWD	Debit	GS100002620016	EMR & CO	GBP	
BCLIQCG_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BCOPNCG_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BCSWIFT_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BILL_AMOUNT	GBP	Credit	GS100002610012	HSBC BANK	GBP	No
BILL_AMT_EQUIV	GBP	Credit	GS100002610012	HSBC BANK	GBP	No
BILL_LIQ_AMT	GBP	Credit	GS100002610012	HSBC BANK	GBP	
BILL_LIQ_AMTEQ	GBP	Debit	GS100002620016	EMR & CO	GBP	
BKTAX_AMT	GBP	Debit	GS100002620016	EMR & CO	GBP	

▲ BCCOUR_LIQD - Party Details

Transfer Type None	Charge Details Remitter All Charges	Netting Indicator Select	Ordering Customer Name/Account
Ordering Institution Name/Account	Senders Correspondent Name/Account	Receivers Correspondent Name/Account	Intermediary Institution Name/Account
Account With Institution Name/Account	Beneficiary Institution Name/Account	Ultimate Beneficiary Name/Account	Intermediary Reimbursement Institution Name/Account

Payment Details

Sender To Receiver 1	Sender To Receiver 2	Sender To Receiver 3	Sender To Receiver 4
Sender To Receiver 5	Sender To Receiver 6		

Remittance Information

Payment Detail 1	Payment Detail 2	Payment Detail 3	Payment Detail 4
------------------	------------------	------------------	------------------

Reject Hold Cancel Save & Close Back Next

Field	Description	Sample Values
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	

Field	Description	Sample Values
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Summary

User can review the summary of details updated in Data Enrichment stage Export LC Drawing request.

The screenshot shows the 'Summary' page for an 'Export LC Drawing - Data Enrichment' request. The page is divided into several sections:

- Navigation:** A sidebar on the left lists various detail categories, with 'Summary' selected. The top navigation bar includes 'FuTura Bank', 'My Tasks', and user information (SRIDHAR01, subham@gmail.com).
- Summary Tiles:** A grid of 20 tiles, each representing a different category of details:
 - Main Details:** Product Code (ESUN), Currency (GBP), Amount (750).
 - Document Details:** Document 1 (AIRDOC), Document 2 (INSDOC), Document 3 (INVDOC), Document 4 (MARDOC).
 - Additional Conditions:** FFT Code 1 (FFT2).
 - Shipment Details:** Goods Code (MACHINE1), Goods Description (Import of ...).
 - Discrepancy Details:** Discrepancy Code 1 (BOL), Discrepancy Code 2 (EXPIREDLC), Discrepancy Code 3 (ACW).
 - Maturity Details:** Tenor Type (Sight), Tenor Basis, Maturity Date (2019-02-01).
 - Additional Fields:** Click here to view additional fields.
 - Advices:** advice1 (DISCREPANC...), advice2 (PAYMENT_ME...).
 - Limits and Collaterals:** Limit Currency (Sfacilitie...), Limit Contribution (1000), Limit Status (Not Availa...), Collateral Currency (GBP), Collateral Contr. (10), Collateral Status (Not Verified).
 - Commission, Charges and Taxes:** Charge, Commission, Tax, Block Status (Not Initia...).
 - Payment Details:** Immediate Liquidation, Immediate Accept, Reim Claimed.
 - Preview Details:** Language (ENG), Preview Message (-).
 - FX Linkage Details:** Reference No., Contract Amount, Linkage Amount.
 - Preview Messages:** Language (ENG), Preview Message (-).
 - Tracer Details:** Payment Tracer (No), Acceptance Tracer (No), Discrepancy Tracer (No).
 - Settlement Details:** Component (REFUND_N_I...), Account Number (GS10000261...), Currency (GBP).
 - Compliance:** KYC (Verified), Sanctions (Not Initia...), AML (Not Initia...).
 - Party Details:** Issuing Bank (HSBC BANK), Drawee (NESTLE), Confirming Bank (CITIBANK NY), Drawer (EMR & CO), Presenting Bank (HSBC BANK).
- Bottom Bar:** A row of action buttons: 'Audit', 'Reject', 'Hold', 'Cancel', 'Save & Close', 'Back', 'Next', 'Submit'.

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Document Details - User can view the details of the document.
- Additional Conditions - User can view the details of additional conditions.
- Shipment Details - User can view the shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of the advices.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Payment Details - User can view the payment details.
- Preview - User can view the preview of the message.
- Settlement Details - User can view the settlement details.
- FX Linkage - User can view the details of FX Linkage.
- Tracer Details - User can view the tracer details.
- Compliance - User can view the compliance details.

- Party Details - User can view party details like applicant, advising bank etc.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Drawing. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Exceptions

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. Task. The checks to external system/internal system is initiated after the DE Stage. The amount Block earmark and Limit Earmarks if applicable are also initiated after the DE stage.

If a negative response is received for any of the calls, the task lands in respective exceptional queue which require further manual handling/approval.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Bock Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the Export LC Drawing Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the customer. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can select a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Export LC Drawing - KYC Exception Approval :: Application No: GS1ELCD000006391 Documents Remarks Audit View LC

KYC Exception Summary Screen (2 / 2)

Main Details Product Code : ESUN Currency : GBP Amount : 750	Beneficiary Details Sequence Number 1 :	Document Details Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC	Shipment Details Goods Code : MACHINE1 Goods Description : Import of ...
Additional Conditions FFT Code 1 : FFT2	Discrepancy Details Discrepancy Code 1 : BOL Discrepancy Code 2 : EXPIREDLC Discrepancy Code 3 : ACW	Maturity Details Tenor Type : Sight Tenor Basis : Maturity Date : 2019-02-01	Additional Fields Click here to view Additional fields
Revolving Details Revolving : N Revolving In : Revolving Frequency :	Payment Details Immediate Liquidation: Immediate Accept : Reim Claimed :	Settlement Details Component : REFUND_N_I... Account Number : GS10000261... Currency : GBP	Preferences Exchange Rate : Loan Currency : Loan Amount :
FX Linkage Details Reference No. : Contract Amount : Linkage Amount :	Limits Details Limit Currency : Sfacilitie... Limit Contribution : 1000 Limit Status : Not Availa... Collateral Currency : GBP Collateral Contr. : 10 Collateral Status : Success	Party Details Issuing Bank : HSBC BANK Drawee : NESTLE Confirming Bank : CITIBANK NY Drawer : EMR & CO Presenting Bank : HSBC BANK	Advice Details advice1 : DISCREPANC... advice2 : PAYMENT_ME...
Preview Messages Language : ENG Preview Message : -	Charge Charge : Commission : Tax : Block Status : Not Initia...	Compliance KYC : Verified Sanctions : Not Initia... AML : Verified	

Reject Hold Refer Cancel Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Document Details - User can view the details of the document.
- Additional Conditions - User can view the details of additional conditions.
- Shipment Details - User can view the shipment details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of the advices.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Payment Details - User can view the payment details.
- Preview - User can view the preview of the message.
- Settlement Details - User can view the settlement details.
- FX Linkage - User can view the details of FX Linkage.
- Tracer Details - User can view the tracer details.
- Settlement Details - User can view the settlement details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	<p>Cancel the Export LC Drawing KYC exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the Export LC Amendment Limit exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

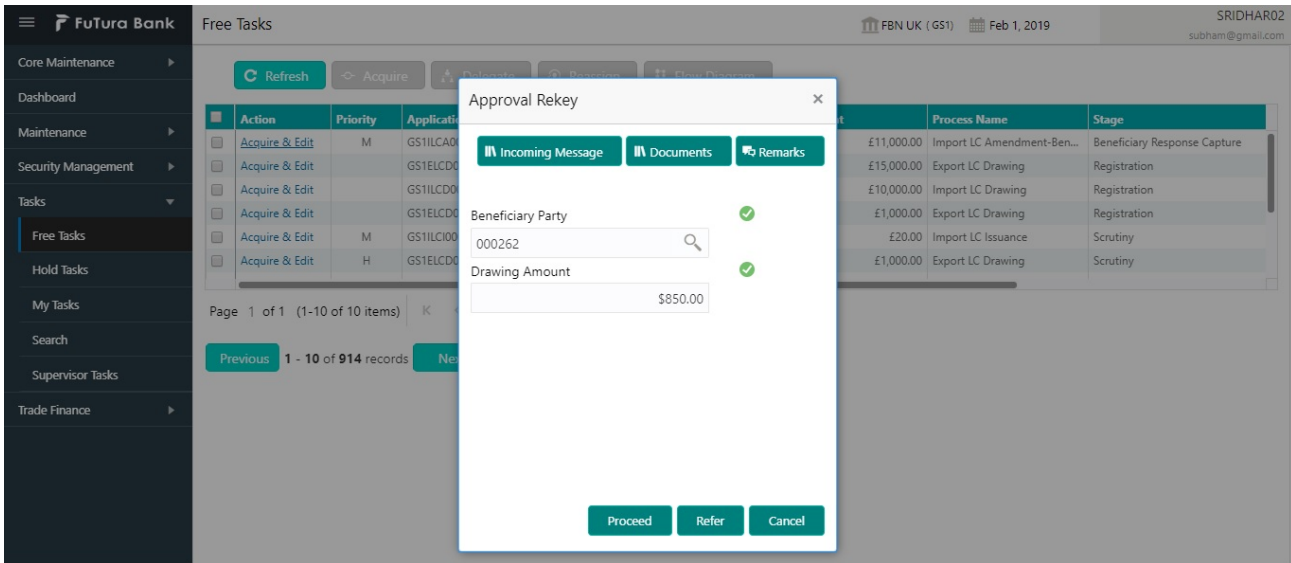
Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Beneficiary Party
- Drawing Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.



Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	

Action Buttons

Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R5 - Others 	
Cancel	Cancel the Import LC Drawing Approval Rekey.	

Summary

Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR02 subham@gmail.com

Export LC Drawing - Approval1 :: Application No: GS1ELCD00006391 Documents Remarks Audit View LC

Summary Screen (1 / 1)

Main Details Product Code : ESUN Currency : GBP Amount : 750	Beneficiary Details Sequence Number 1 :	Document Details Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC	Shipment Details Goods Code : MACHINE1 Goods Description : Import of ...
Additional Conditions FFT Code 1 : FFT2	Discrepancy Details Discrepancy Code 1 : BOL Discrepancy Code 2 : EXPIREDLC Discrepancy Code 3 : ACW	Maturity Details Tenor Type : Sight Tenor Basis : Maturity Date : 2019-02-01	Additional Fields Click here to view : Additional fields
Revolving Details Revolving : N Revolving In : Revolving Frequency :	Payment Details Immediate Liquidation: Immediate Accept : Reim Claimed :	Settlement Details Component : REFUND_N_J... Account Number : GS10000261... Currency : GBP	Preferences Exchange Rate : Loan Currency : Loan Amount :
FX Linkage Details Reference No. : Contract Amount : Linkage Amount :	Limits Details Limit Currency : \$facilitie... Limit Contribution : 1000 Limit Status : Not Availa... Collateral Currency : GBP Collateral Contr. : 10 Collateral Status : Success	Party Details Issuing Bank : HSBC BANK Drawee : NESTLE Confirming Bank : CITIBANK NY Drawer : EMR & CO Presenting Bank : HSBC BANK	Advice Details advice1 : DISCREPANC... advice2 : PAYMENT_ME...
Preview Messages Language : ENG Preview Message : -	Charge Charge : Commission : Tax : Block Status : Not Initia...	Compliance KYC : Verified Sanctions : Not Initia... AML : Verified	

Audit Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Details - User can view the beneficiary details.
- Document Details - User can view the document details.
- Shipment Details - User can view the shipment details.
- Additional Conditions - User can view the details of additional conditions.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Additional Fields - User can view the details of the additional fields.
- Revolving Details - User can view the revolving details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Advice Details - User can view the details of the advices.
- Maturity Details - User can view the maturity details.
- Preview - User can view the preview of the message.
- Compliance - User can view compliance validation response. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Drawing in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Revolving Details - User can view revolving details on revolving LC.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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